This brochure supplement provides information about Patrick Daniel Roth that supplements the Fiduciary Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Christopher John Broderick, Managing Member if you did not receive Fiduciary Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Patrick Daniel Roth is also available on the SEC's website at www.adviserinfo.sec.gov.

# Fiduciary Wealth Management, LLC

Form ADV Part 2B – Individual Disclosure Brochure

## **Patrick Daniel Roth**

Personal CRD Number: 2642697 Investment Adviser Representative July 28, 2014

> Fiduciary Wealth Management, LLC 4604-H Pinecrest Office Park Drive Alexandria, VA 22312 (703) 242-1231 info@fidwealthman.com

## **Item 2: Educational Background and Business Experience**

Name: Patrick Daniel Roth

**Born:** 1969

## **Education Background and Professional Designations:**

#### **Education:**

AAS - Business Management, Northern Virginia Community College – 1991 BS – Business Management, Strayer University - 1993

#### **Business Background:**

2011 – Present Managing Member

Fiduciary Wealth Management, LLC

Registered Representative

Advisory Group Equity Services, Ltd.

2007 – 2011 Managing Director, Chief Compliance Officer

Westminster Financial Companies, Inc.

2007 Branch Management

**UBS** 

1995 – 2007 Chief Compliance Officer, Director of Operations

Capitol Securities Management, Inc.

1993 – 1995 Brokerage Operations

Ferris, Baker Watts

#### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

Patrick Daniel Roth is a registered representative of Advisory Group Equity Services, Ltd. (AGES) a member of FINRA/SIPC. As such Mr. Roth may receive commissions, service/distribution fees and/or other forms of compensation in relation to securities bought and/or sold through AGES. This may create a conflict of interest in the recommendations provided by Mr. Roth to the degree the recommendations would be based on compensation received by Mr. Roth in his role as registered representative rather than the best interests of clients.

#### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Patrick Daniel roth does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Fiduciary Wealth Management, LLC.

### **Item 6: Supervision**

Christopher J. Broderick supervises all duties and activities as the firm's Chief Compliance Officer. Christopher J. Broderick's contact information is on the cover page of this disclosure document.

#### **Item 7: Requirements for State Registered Advisers**

This disclosure is required by Virginia securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

#### A. Disclosure Events

- (1) Patrick Daniel Roth has **NOT** been found liable in any arbitration claim alleging damages in excess of \$2,500 involving any of the events listed below.
  - a. an investment or an investment-related business or activity;
  - b. fraud, false statement(s), or omissions;
  - c. theft, embezzlement, or other wrongful taking of property;
  - d. bribery, forgery, counterfeiting, or extortion; or
  - e. dishonest, unfair, or unethical practices.
- (2) Patrick Daniel Roth has **NOT** been involved in any of the events listed below.

An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a. an investment or an investment-related business or activity;
- b. fraud, false statement(s), or omissions;
- c. theft, embezzlement, or other wrongful taking of property;
- d. bribery, forgery, counterfeiting, or extortion; or
- e. dishonest, unfair, or unethical practices.
- B. Patrick Daniel Roth has **NOT** been the subject of a bankruptcy petition at any time.